CHICAGO MAGAZINE
SPECIAL ADVERTISING SECTION

2020 CHICAGO FIVE STAR AWARD WINNERS

A SELECT AWARD

Five Star Professional uses its own proprietary research methodology to name outstanding professionals, then works with publications such as *Chicago magazine* to spread the word about award winners. Each award candidate undergoes a thorough research process (detailed below) before being considered for the final list of award winners. For more information on how we name outstanding wealth managers, as well as a complete list of winners and our research methodology, go to www.fivestarprofessional.com.

RESEARCH DISCLOSURES

In order to consider a broad population of high-quality wealth managers, award candidates are identified by one of three sources: firm nomination, peer nomination or prequalification based on industry standing. Self-nominations are not accepted. Chicago award candidates were identified using internal and external research data.

- Wealth managers do not pay a fee to be considered or placed on the final list of Five Star Wealth Managers.
- The Five Star award is not indicative of the wealth manager's future performance.
- Wealth managers may or may not use discretion in their practice and therefore may not manage their clients' assets.
- The inclusion of a wealth manager on the Five Star Wealth Manager list should not be construed as an endorsement of the wealth manager by Five Star Professional or Chicago magazine.
- Working with a Five Star Wealth Manager or any wealth manager is no guarantee as to future investment success, nor is there any guarantee that the selected wealth managers will be awarded this accomplishment by Five Star Professional in the future.
- Five Star Professional is not an advisory firm, and the content of this article should not be considered financial advice. For more information on the Five Star award and the research/selection methodology, go to www.fivestarprofessional.com.

2020 Five Star Wealth Manager Criteria:

Eligibility Criteria – Required: 1. Credentialed as an investment advisory representative or a registered investment adviser. 2. Actively employed as a credentialed professional in the financial services industry for a minimum of five years. 3. Favorable regulatory and complaint history review. 4. Fulfilled their firm review based on internal firm standards. 5. Accepting new clients. **Evaluation Criteria – Considered:** 6. One-year client retention rate. 7. Five-year client retention rate. 8. Non-institutional discretionary and/or non-discretionary client assets administered. 9. Number of client households served. 10. Education and professional designations. 4,636 award candidates in the Chicago area were considered for the Five Star Wealth Manager award. 356 (approximately 8% of the award candidates) were named 2020 Five Star Wealth Managers.

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Award winner seen in

FIVE STAR PROFESSIONAL + CHICAGO MAGAZINE

The Five Star Wealth Manager award, administered by Crescendo Business Services, LLC (dba Five Star Professional), is based on 10 objective criteria. Eligibility criteria – required: 1. Credentialed as a registered investment adviser or a registered investment adviser representative. 2. Actively licensed as a credentialed professional in the financial services industry for a minimum of five years. 3. Favorable regulatory and complaint history review. 4. Fulfilled their firm review based on internal firm standards. 5. Accepting new clients. Evaluation criteria – considered: 6. One-year client retention rate. 7. Five-year client retention rate. 8. Non-institutional discretionary and/or non-discretionary client assets administered. 9. Number of client households served. 10. Education and professional designations. Wealth managers do not pay a fee to be considered or placed on the final list of Five Star Wealth Managers. Award does not evaluate quality of service provided to clients. Once awarded, wealth managers may purchase additional profile half-page or promotional products. The Five Star award is not indicative of the wealth manager's future performance. Wealth managers may or may not use discretion in their practice and therefore may not manage their client assets. The inclusion of a wealth manager on the Five Star Wealth Manager list should not be construed as an endorsement of the wealth manager by Five Star Professional or this publication. Working with a Five Star Wealth Manager or any wealth manager is no guarantee as to future investment success, nor is there any guarantee that the selected wealth manager will be awarded this accomplishment by Five Star Professional in the future. For more information on the Five Star award and the research/selection methodology, go to www.fivestarprofessional.com. 4,636 Chicago area wealth manager candidates were considered for the award. 356 (8% of candidates) were named 2020 Five Star Wealth Managers. 2019: 5,418 candidates; 420 winners; 2018: 5,449 candidates; 450 winners; 2017: 5,730 candidates; 438 winners; 2016: 5,417 candidates; 372 winners; 2015: 5,605 candidates; 74 winners; 2014: 8,313 candidates; 414 winners; 2013: 2,976 candidates; 70 winners.

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